



Data Structures and Algorithms (2)

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Higher Education Press, 2008.6 (the "Eleventh Five-Year" national planning textbook)

<https://courses.edx.org/courses/PekingX/04830050x/2T2014/>

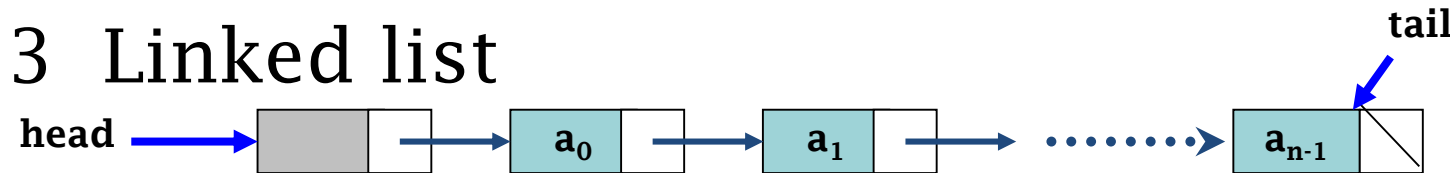
Chapter II Linear Lists

- 2.1 Linear list $\{a_0, a_1, \dots, a_{n-1}\}$

- 2.2 Sequential list

| | | | | | |
|-------|-------|-------|-----|-----|-----------|
| a_0 | a_1 | a_2 | ... | ... | a_{n-1} |
|-------|-------|-------|-----|-----|-----------|

- 2.3 Linked list



- 2.4 Comparison of sequential list and linked list

The Concepts of Linear List

- **List** for short, is a finite sequence of zero or more elements, usually represented as k_0, k_1, \dots, k_{n-1} ($n \geq 1$)
 - **Entries**: elements of linear list (can contain multiple data items, **records**)
 - **Index**: i is called the "Index" of entry k_i
 - **Length of the list**: the number of elements contained in the list n
 - **Empty list**: a linear list with the length of zero ($n = 0$)
- **Features of Linear list**:
 - Flexible operations
 - Dynamically changed length

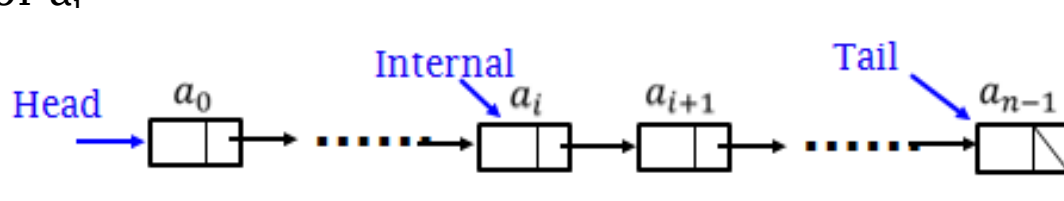




Linear structure

- Tuple $B = (K, R)$ $K = \{a_0, a_1, \dots, a_{n-1}\}$ $R = \{r\}$
 - There is one and only one starting point that has no previous node and has only one successive node.
 - There is one and only one ending point that has only one previous node and has no successive node.
 - The other nodes are called internal nodes that have only one previous node and also have only one successive node.

$\langle a_i, a_{i+1} \rangle$ a_i is previous node of a_{i+1} , and a_{i+1} is the successive node of a_i





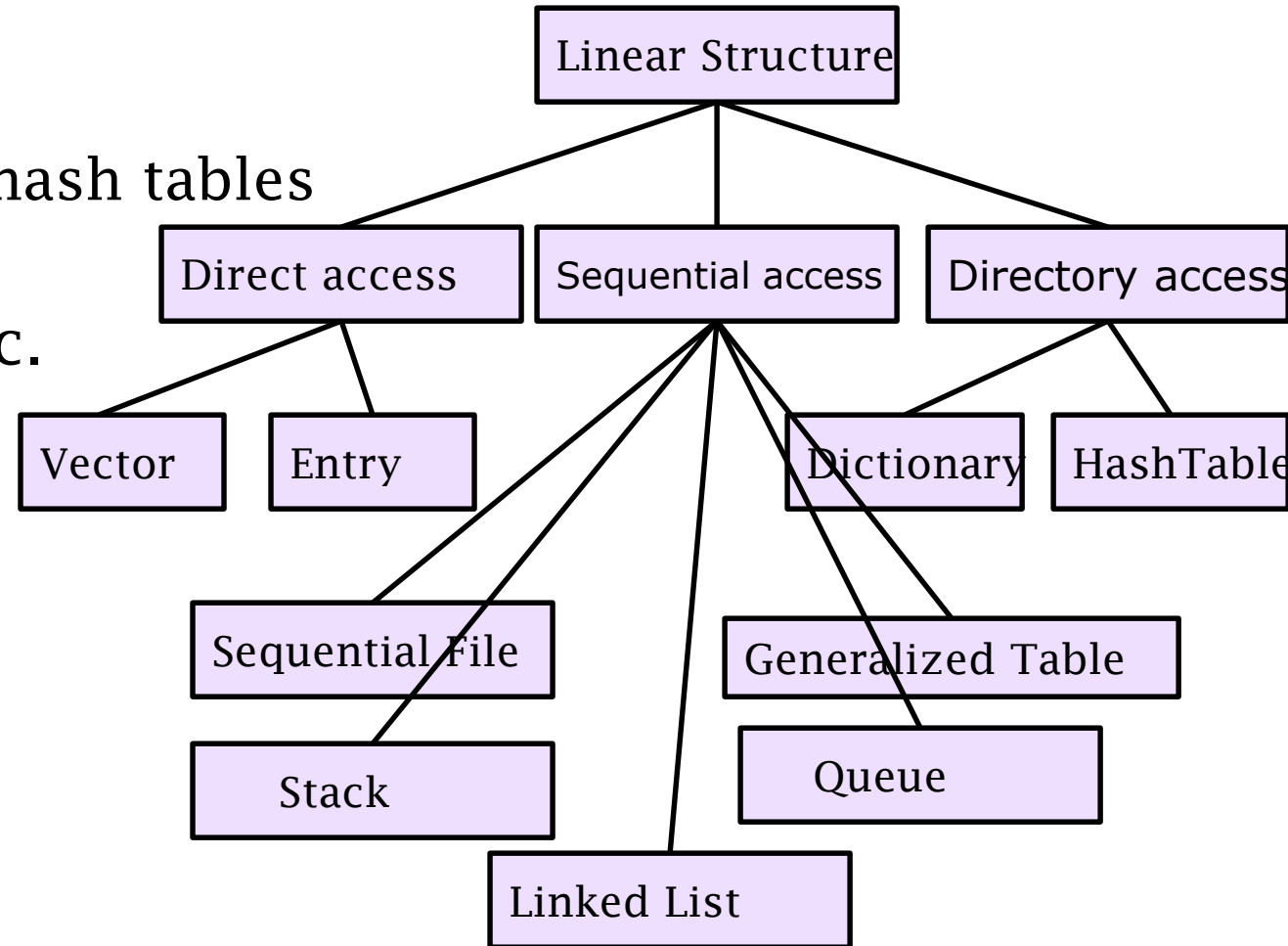
Linear structure

- Features
 - ✓ Uniformity: Although the data elements of different linear lists may be diverse, but the data elements of the same linear list normally have **the same data type and length**
 - ✓ Orderliness: each data element has its own position in the list and **their relative positions** are **linear**



Linear structure

- According to the complexity
 - Simple: Linear lists, stacks, queues, hash tables
 - Advanced: generalized lists, multidimensional arrays, files etc.
- Divided by access ways
 - Direct access type
 - Sequential access type
 - Contents Index type (directory access)



Linear structure

- **Classified by operation (see later)**

- Linear List

- All entries are nodes of the same type of linear lists
- No need to limit the form of operation
- Divided into: the sequential list, linked list depending on the difference of storage

- Stack (LIFO, Last In First Out)

Insert and delete operations are restricted to the **same end** of the list

- Queue (FIFO, First In First Out)

Insert at one **end** of the list, while delete at the **other end**

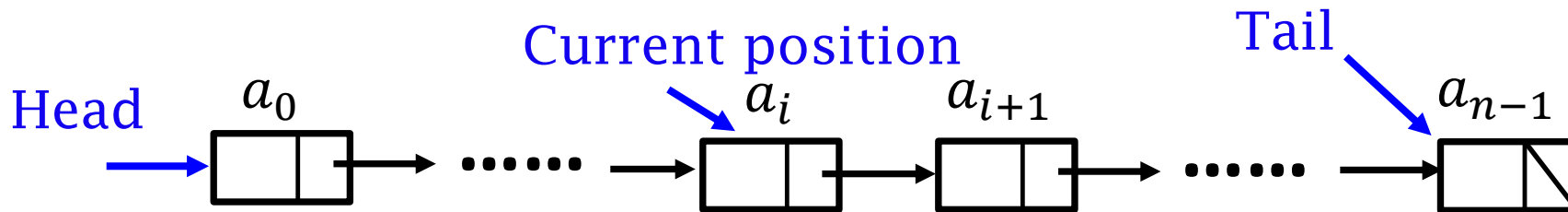


2.1 Linear List

- Three aspects
 - Logical structure of the linear list
 - Storage structure
 - Operation of linear list

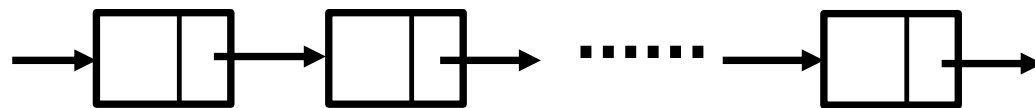
Logical structure of the linear list

- The main properties
 - Length
 - Head
 - Tail
 - Current position



Classification (By storage)

- Linear List
 - All entries are nodes of the same type of linear lists
 - No need to limit the form of operation
 - Divided into: **the sequential list, linked list** depending on the difference of storage



Storage Structures

- Sequential list

- Store according to index values from small to large in an adjacent continuous region
- Compact structure, and the storage density is 1

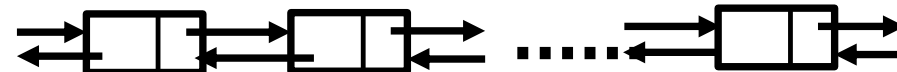


- Linked list

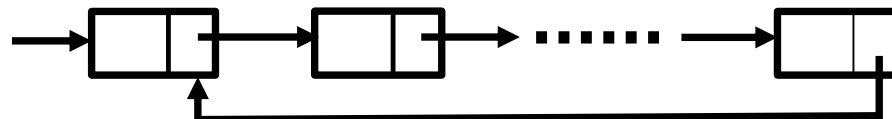
- Single list



- Double linked list



- Circular list



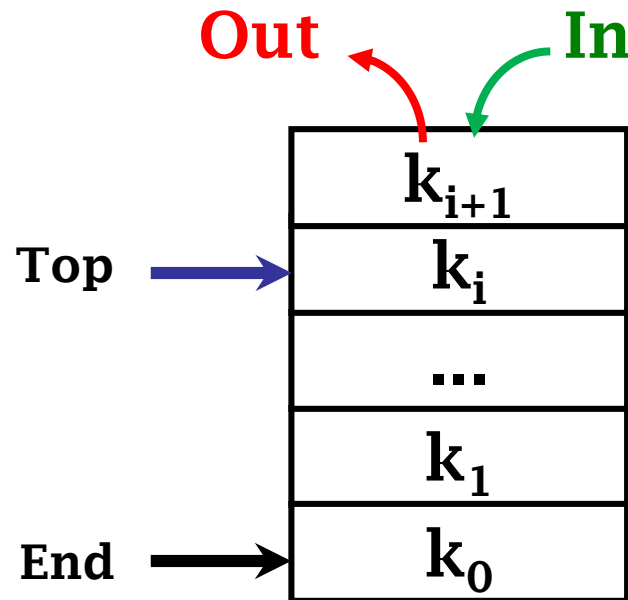


Classification (By operation)

- Linear List
 - No need to limit the form of operation
- Stack
 - At the same end
- Queue
 - At both ends

Classification (By operation)

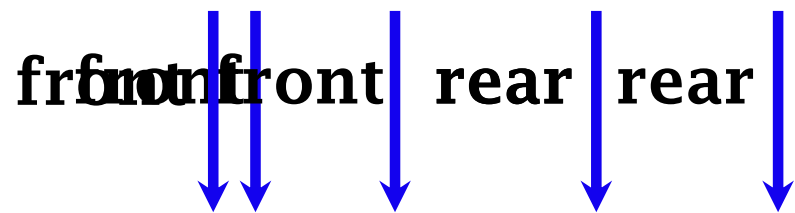
- Stack (LIFO, Last In First Out)
 - **Insert and delete operations** are restricted to the **same end** of the list



Classification (By operation)

- Queue (FIFO, First In First Out)
 - **Insert** at one **end** of the list while delete at the **other end**
- Rear(true pointer)

Delete



Insert





Operation on linear Lists

- Construct a linear list
- Destruct the linear list
- Insert a new element
- Delete a specific element
- Modify a specific element
- Sort
- Search
- ...

Class Template of Linear lists

```
template <class T> class List {
    void clear();          // clear the linear list
    bool isEmpty();      // When it is empty, returns true
    bool append(const T value);
                        // insert the value at the end , length adds by 1
    bool insert(const int p, const T value);
                        // insert the value at position P , length adds by 1
    bool delete(const int p);
                        // delete the value at position p , length decreases by 1
    bool getPos(int& p, const T value);
                        // find the value and returns its position
    bool getValue(const int p, T& value);
                        // return the element's value at position P
                        //and assign it to the variable of value
    bool setValue(const int p, const T value);
                        // set value for position P
};
```




Thinking

- What kind of classification are there for the linear list?
- In all kinds of names of linear lists , which are related to storage structures? Which are related to operations?



Chapter II Linear List

- 2.1 Linear List
- 2.2 Sequential List
- 2.3 Linked List
- 2.4 Comparison between sequential list and linked list





2.2 Sequential List

- **Also known as the vector, fixed-length one-dimensional array is used as the storage structure**
- **Key Features**
 - Elements are of the same type
 - Elements are sequentially stored in contiguous storage space, and each element has a unique index value
 - The type of vector length is constant
- **Implemented as Array**
- **Its elements are easy to read and write, you can specify the location by using its subscript**
 - Once the starting position is got, all the data elements of the list can be random accessed



2.2 Sequential List

. The formula to calculate the elements of location is shown as below:

$$- \text{Loc}(k_i) = \text{Loc}(k_0) + c \times i, \quad c = \text{sizeof}(ELEM)$$

Logical
Address
(Subscript)

Data
elements

| | |
|-------|-----------|
| 0 | k_0 |
| 1 | k_1 |
| ... | ... |
| i | k_i |
| ... | |
| $n-1$ | k_{n-1} |

Store Address

Data
elements

| | |
|---------------------------|-----------|
| $\text{Loc}(k_0)$ | k_0 |
| $\text{Loc}(k_0)+c$ | k_1 |
| ... | ... |
| $\text{Loc}(k_0)+i*c$ | k_i |
| ... | |
| $\text{Loc}(k_0)+(n-1)*c$ | k_{n-1} |



Sequence List's Class Definition

```
class arrList : public List<T> {    // sequential list , vector
private:                            // value types and value space of linear list
    T * aList ;                    // private variables , instance of storage for sequential list
    int maxSize;                   // private variables , maximum length of the sequential list
    int curLen;                    // private variables , current length of the sequential list
    int position;                  // private variables , current operation location
public:
    arrList(const int size) { // construct a new list , set its length to the maximum
        maxSize = size; aList = new T[maxSize];
        curLen = position = 0;
    }
    ~arrList() {                // destructor function used to eliminate the instance
        delete [] aList;
    }
}
```

Sequence List's Class Definition

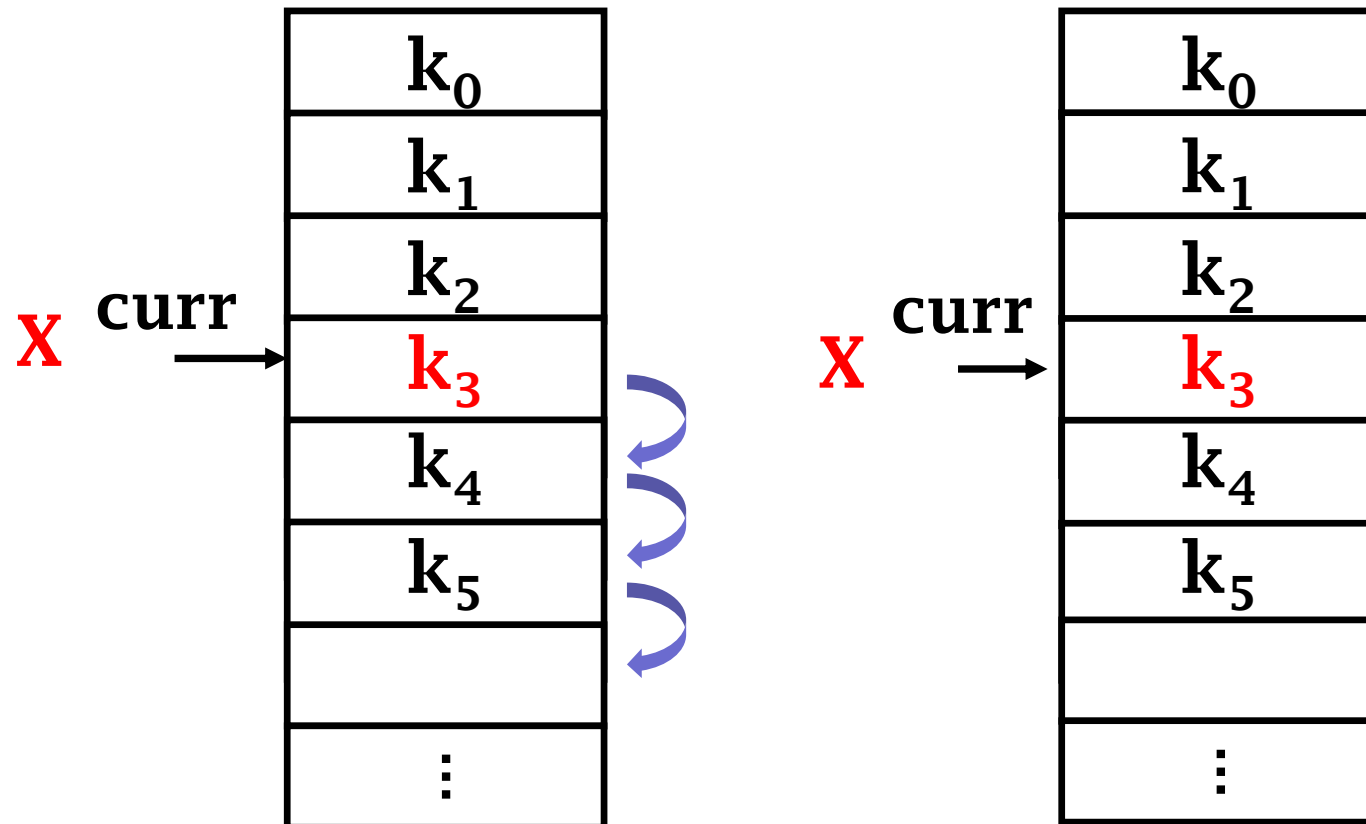
```
void clear() { // delete the content , becoming an empty list
    delete [] aList; curLen = position = 0;
    aList = new T[maxSize];
}
int length(); // returns the current length
bool append(const T value); // append element v at end
bool insert(const int p, const T value); // insert an element at P
bool delete(const int p); // delete the element at P
bool setValue(const int p, const T value); // set the value of an element
bool getValue(const int p, T& value); // return the value of an element
bool getPos(int &p, const T value); // seek for an element
};
```



Operations in Sequential List

- Key discussions
 - Insert element operation
 - `bool insert(const int p, const T value);`
 - Delete element operation
 - `bool delete(const int p);`
- Others (Think by yourselves)

Diagram for the insertion of sequential list





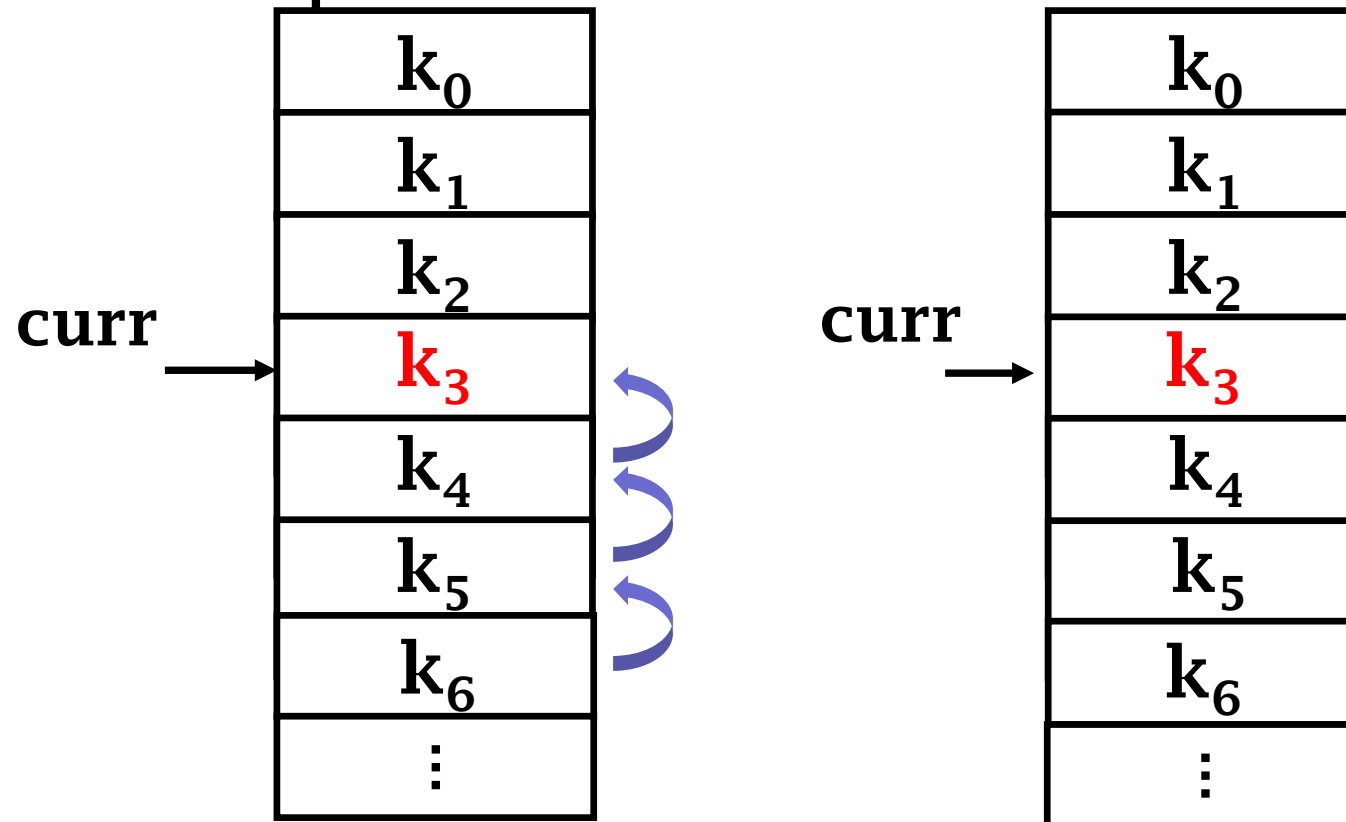
Insertion of sequential list

```
// set the element type as T , aList is the array to store Sequential list ,
// maxSize is its maximum length ;
// p is the insert location of the new element , return true if succeeds ,
// otherwise return false
template <class T> bool arrList<T> :: insert (const int p, const T value) {
    int i;
    if (curLen >= maxSize) { // check if the SL is overflow
        cout << "The list is overflow" << endl; return false;
    }
    if (p < 0 || p > curLen) { // check if the position to insert is valid
        cout << "Insertion point is illegal" << endl; return false;
    }
    for (i = curLen; i > p; i--)
        aList[i] = aList[i-1]; // move right from the end curLen -1 of the
list until p
    aList[p] = value; // insert a new element at p
    curLen++; // adds the current length of the list by 1
    return true;
}
```



Diagram for sequential list's delete operation

• 2.2 Sequential List





Delete operation in sequential list

// set the type of the element as T ; aList is the array to store sequential list

// and p is the position of elements to delete

// returns true when delete succeed , otherwise returns false

template <class T> // the type of the elements of SL is T

bool arrList<T> :: delete(const int p) {

int i;

if (curLen <= 0) { // Check if the SL is empty

cout << " No element to delete \n" << endl;

return false ;

}

if (p < 0 || p > curLen-1) { // Check if the position is valid

cout << "deletion is illegal\n" << endl;

return false ;

}

for (i = p; i < curLen-1; i++)

aList[i] = aList[i+1]; // [p, currLen) every element move left

curLen--; // the current length of the list decreases by 1

return true;

}

Algorithm analysis of insert and delete operations in sequential list

- The movement of elements in the list
 - Insert: move $n - i$
 - Delete: move $n - i - 1$
- The probability values to insert or delete in position i are respectively p_i and p_i'
 - The average move time for insert operation is

$$M_i = \sum_{i=0}^n (n - i) p_i$$

- The average move time of delete operation is

$$M_d = \sum_{i=0}^{n-1} (n - i - 1) p_i'$$



Algorithm Analysis

- If the probability to insert or delete in every location in SL is the same, namely $p_i = \frac{1}{n+1}$, $p'_i = \frac{1}{n}$

$$\begin{aligned} M_i &= \frac{1}{n+1} \sum_{i=0}^n (n-i) = \frac{1}{n+1} \left(\sum_{i=0}^n n - \sum_{i=0}^n i \right) \\ &= \frac{n(n+1)}{n+1} - \frac{n(n+1)}{2(n+1)} = \frac{n}{2} \end{aligned}$$

$$\begin{aligned} M_d &= \frac{1}{n} \sum_{i=0}^n (n-i-1) = \frac{1}{n} \left(\sum_{i=0}^n n - \sum_{i=0}^n i - n \right) \\ &= \frac{n^2}{n} - \frac{(n-1)}{2} - 1 = \frac{n-1}{2} \end{aligned}$$

Time cost
is $O(n)$



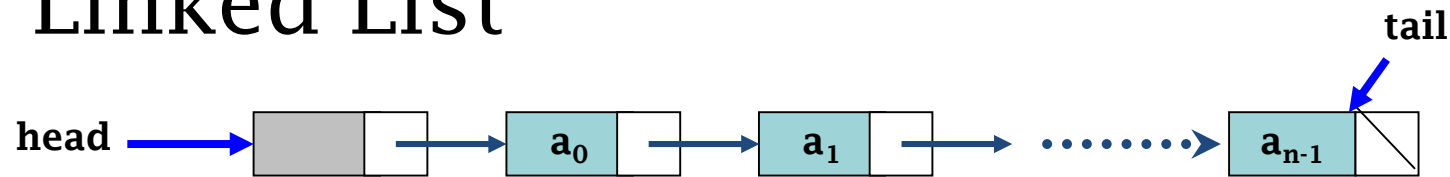
Thinking

- What should you think about when doing insert or delete operations in sequential list ?
- What advantages and disadvantages does sequential list have?



Chapter II Linear List

- 2.1 Linear List
- 2.2 Sequential List
- 2.3 Linked List

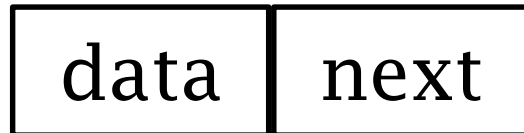


- 2.4 Comparison between sequential list and linked list



Linked List

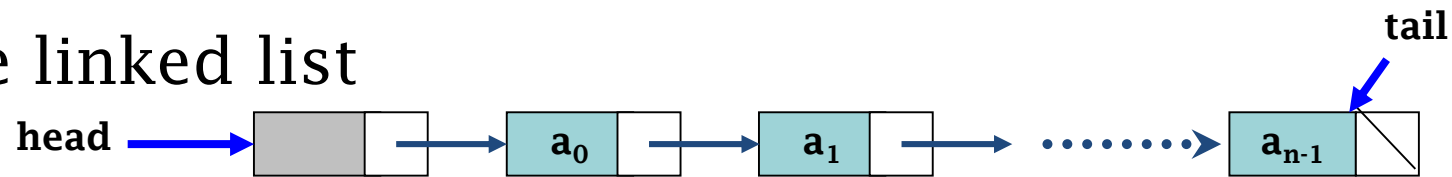
- Link its storage nodes through pointers
-
- Storage nodes are consisted of two parts
 - Data field + pointer field (successor address)



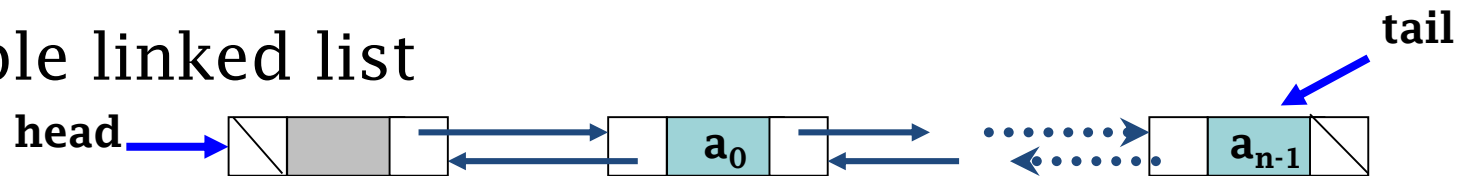
2.3 Linked List

• Classification (according to linked ways and the number of points)

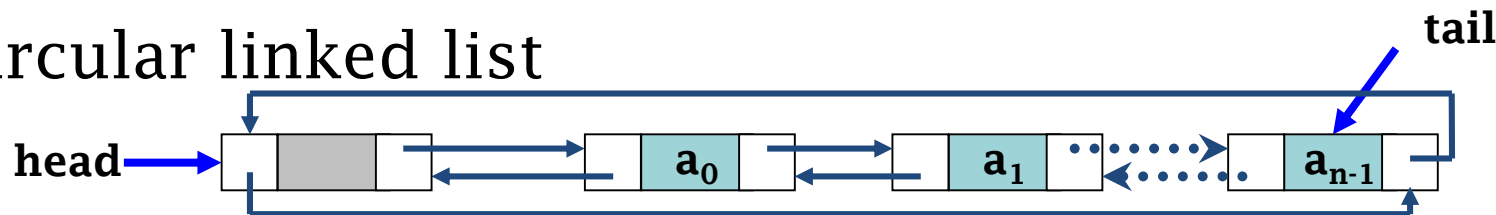
- Single linked list



- Double linked list



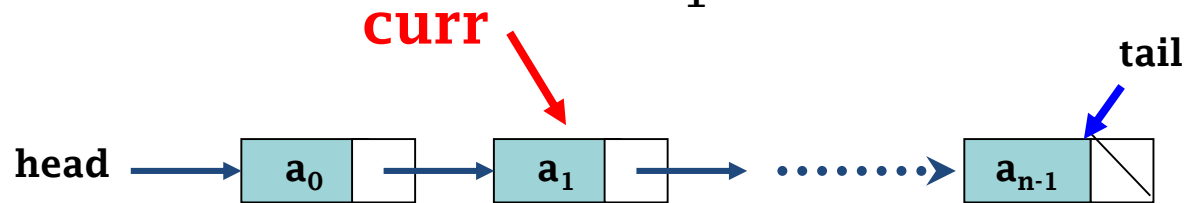
- Circular linked list





Single linked list

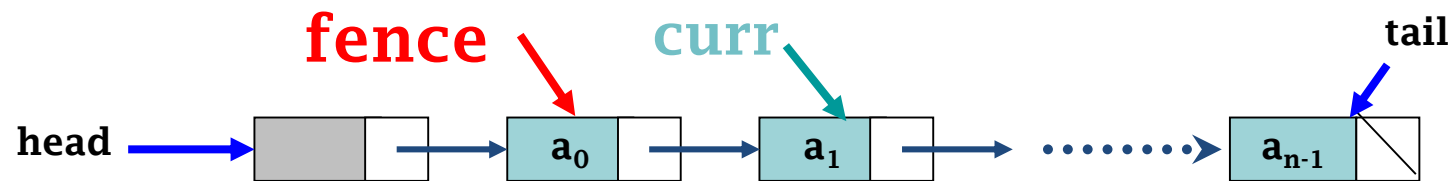
- Simple single linked list
 - The whole single linked list : head
 - The first node : head
 - The judge of empty list :
head == NULL
 - The current node a_1 : curr





Single linked list

- Single linked list with head node
 - The whole single linked list : head
 - The first node : head->next , head \neq NULL
 - The judge of empty list :
 - head->next == NULL
 - The current node a_1 : fence->next (curr implied)





Node type of the single linked list

```
template <class T> class Link {
public:
    T data;           // to protect content of the node elements
    Link<T> * next;   // the pointer which points to successor point

    Link(const T info, const Link<T>* nextValue =NULL) {
        data = info;
        next = nextValue;
    }
    Link(const Link<T>* nextValue) {
        next = nextValue;
    }
};
```



Class definition of single list

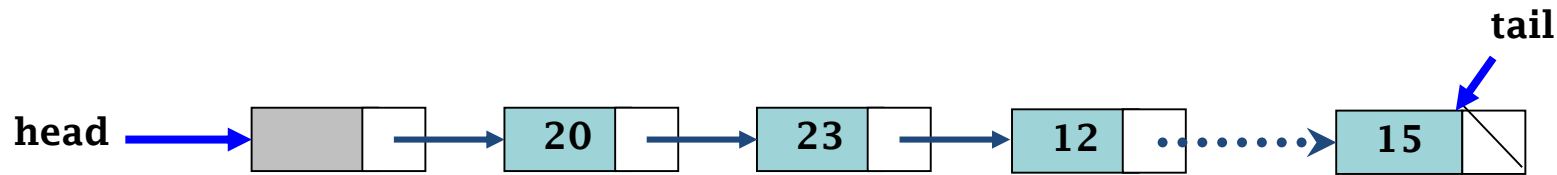
```
template <class T> class lnkList : public List<T> {
private:
    Link<T> * head, *tail;           // head and tail pointer of the single list
    Link<T> *setPos(const int p);    // the pointer of the pth element
public:
    lnkList(int s);                 // constructed function
    ~lnkList();                     // destructor
    bool isEmpty();                 // judge whether the link is empty
    void clear();                   // clear the link's storage and it becomes an empty list
    int length();                   // returns the current length of the sequential list
    bool append(const T value);      // add an element value at the end ,
                                     // the length of the list added by 1
    bool insert(const int p, const T value); // insert an element at p
    bool delete(const int p);        // delete the element at p ,
                                     // the length of the list decreased by 1
    bool getValue(const int p, T& value); // get the value of the element at p
    bool getPos(int &p, const T value); // seek for element with value T
};
```



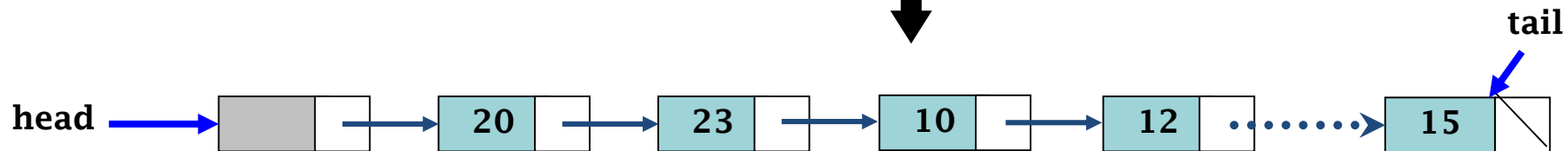
Seek the ith node in the single linked list

```
// the return value of the function is the found node pointer
template <class T>          // the element type of the linked list is P
Link<T> * InkList <T>:: setPos(int i) {
    int count = 0;
    if (i == -1)           // if i was -1, then locate it to the head
        return head;
    // circular location, if I was 0 then locate to the first node
    Link<T> *p = head->next;
    while (p != NULL && count < i) {
        p = p-> next;
        count++;
    };
    // points to the ith node , i = 0,1,... , when the number of
    // the nodes of the list is less than i then return NULL
    return p;
}
```

Insert operation of single linked list



Insert 10 between 23 and 12



- Create a new node
- New node points to the right node
- The left node points to new node



Insert algorithm of single linked list

```
// insert a new node as the ith node
template <class T>
// element type of the linked list is T
bool lnkList<T> :: insert(const int i, const T value) {
    Link<T> *p, *q;
    if ((p = setPos(i - 1)) == NULL) { // p is the previous node of the ith node
        cout << " illegal insert position" << endl;
        return false;
    }
    q = new Link<T>(value, p->next);
    p->next = q;
    if (p == tail) // insert position is at the tail and
                  // the node inserted becomes the new tail
        tail = q;
    return true;
}
```

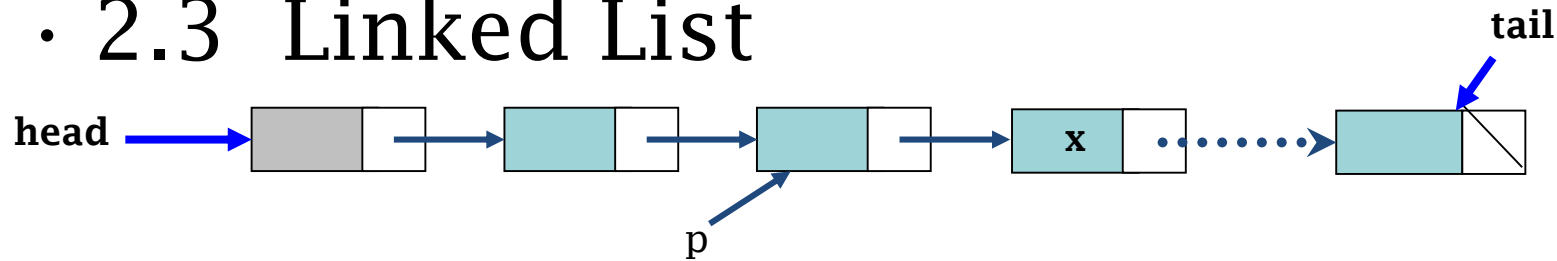



Delete operation of single linked list

- Delete the node x from linked list
 - 1. Assign p to point to the previous node of element x
 - 2. delete the node with element x
 - 3. release the space that x occupied

Example of delete operation of single linked list

• 2.3 Linked List



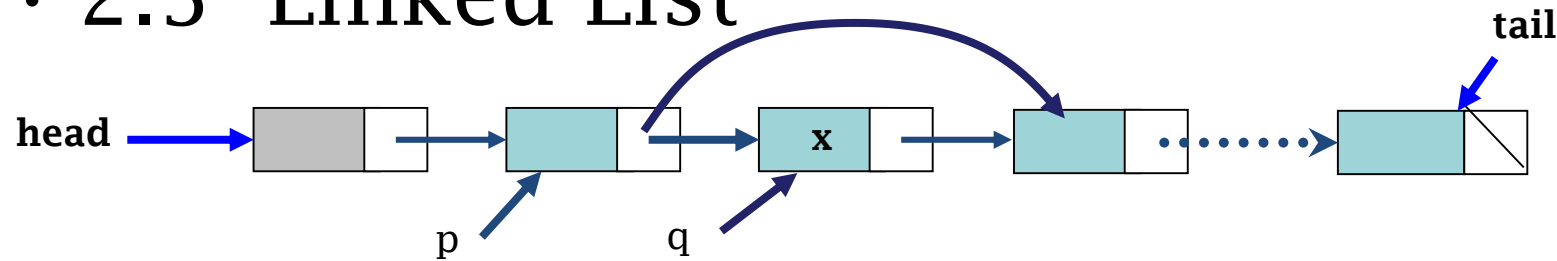
```
p = head;
```

```
while (p->next!=NULL && p->next->info!= x)
```

```
    p = p->next;
```

Delete the node with value X

• 2.3 Linked List



```
q = p->next;  
p->next = q->next;  
free(q);
```



Delete algorithm of single linked list

```
template <class T>                // Element type of the linked list is T
bool lnkList<T>::delete((const int i) {
    Link<T> *p, *q;
    // node to delete doesn't exist, when the given i is bigger than
    // the number of the current elements in the list
    if ((p = setPos(i-1)) == NULL || p == tail) {
        cout << " illegal delete position " << endl;
        return false;
    }
    q = p->next;                // q is the real node to delete
    if (q == tail) {           // if the node to delete is the tail,
        // then change the tail pointer
        tail = p;            p->next = NULL;
    }
    else                        //delete node q and change linked pointer
        p->next = q->next;
    delete q;
    return true;
}
```



Operation analysis of single linked list

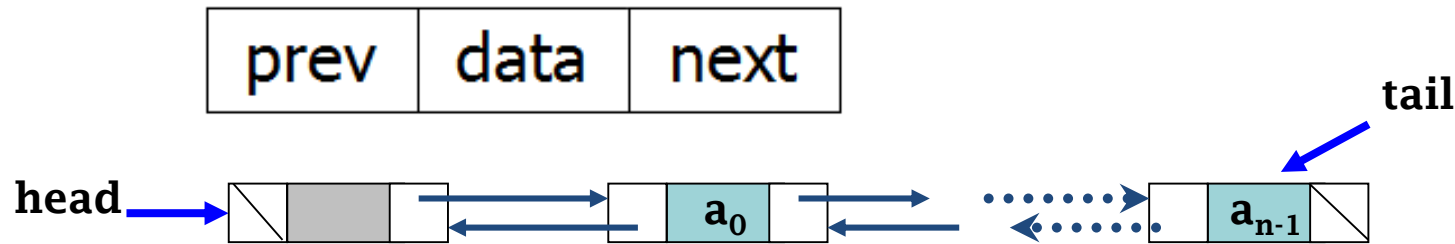
- To operate on a node you must find it first, which means to get a pointer address
- To find any node in single linked list you must begin from the first node

```
p = head;  
while (not reaching) p = p->next;
```

- The time complexity $O(n)$
 - locating : $O(n)$
 - insert : $O(n) + O(1)$
 - delete : $O(n) + O(1)$

Double linked list

- To make up the disadvantages of single linked list, double linked list appears.
 - The next field of single linked list only points to the previous node, it can not be used to find the successive node. The same for “single prev”.
 - So, we add a pointer that points to the precursor node of it in the double linked list.



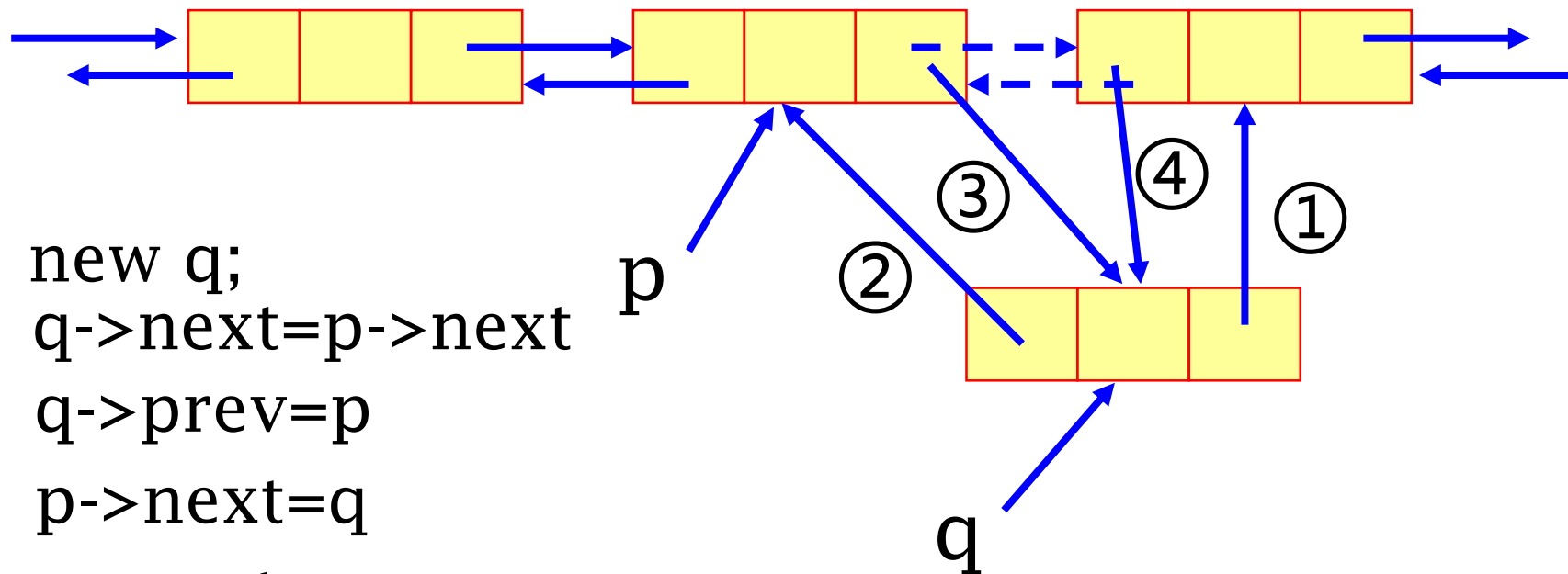


Double linked list and type of its node

```
template <class T> class Link {
public:
    T data;                // used to store content of node elements
    Link<T> * next;        // the pointer points to successor node
    Link<T> * prev;        // the pointer points to precursor node
    Link(const T info, Link<T>* preValue = NULL, Link<T>* nextValue =
NULL) {
    // constructor with given value and precursor and successor pointers
    data = info;
    next = nextValue;
    prev = preValue;
}
    Link(Link<T>* preValue = NULL, Link<T>* nextValue = NULL) {
    // constructor with given value and precursor and successor pointers
    next = nextValue;
    prev = preValue;
}
};
```

Insert procedure of double linked list (Be careful with the order)

Insert a new node after the node pointed by p

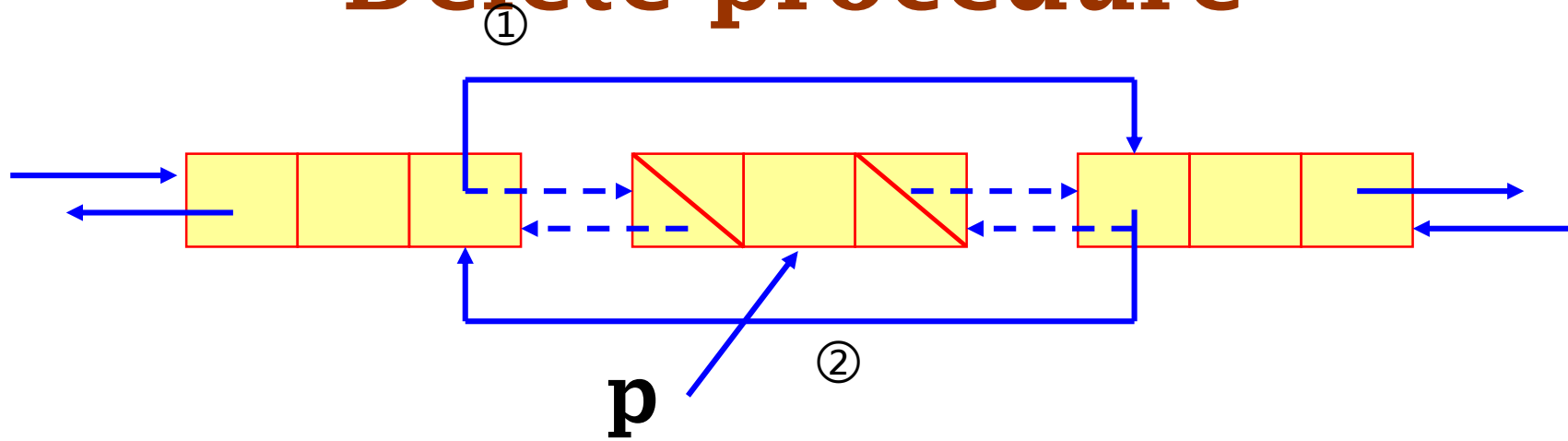


```

new q;
q->next=p->next
q->prev=p
p->next=q
q->next->prev=q
    
```




Delete procedure



Delete the node pointed by p

`p->prev->next=p->next`

`p->next->prev=p->prev`

`p->next=NULL`

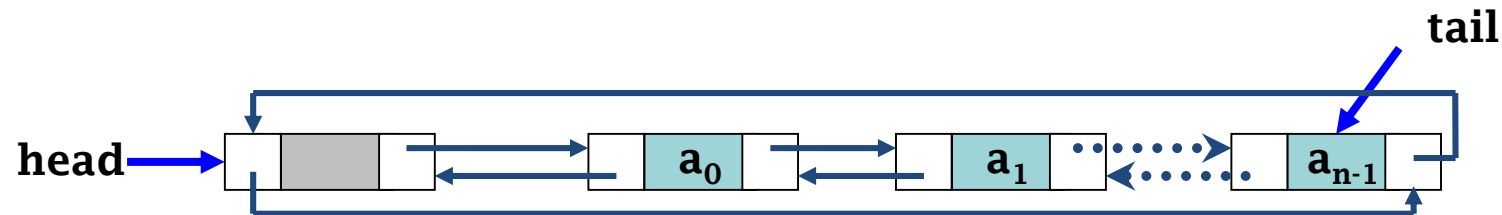
`p->prev=NULL`

• If you delete p immediately

- Do not need to assign the null value

Circularly linked list

- Link the head and tail of single linked list and double linked list, and we created circular lists
- Do not increase other cost, but benefit lots of operations
 - From any node of circular list you can access all the other nodes





Boundary conditions of linked list

- Treatment of some special points
 - Treatment with the head node
 - Pointer field of the tail node of a non-circular list should be kept as NULL
 - Tail of a circular list points to its head pointer
- Treatment with linked list
 - Special treatment with empty linked list
 - When insert or delete nodes, be careful with the linking process of the related pointers
 - The correctness of points moving
 - insert
 - search or iteration



Thinking

- Think about the single linked list with head or not.
- The problems you should consider when deal with linked list.



Chapter II Linear List

- 2.1 Linear List
- 2.2 Sequential List
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- 2.4 Comparison between sequential list and linked list



2.4 Comparison of the implementation method of linear list

- Main advantages of sequential lists
 - No pointers, and no overhead cost
 - Read an element in a sequential list is quite easy and convenient
- Main advantages of linked list
 - No need to know the list length list before construction
 - The length of the linked list can be dynamically changed
 - Support frequent insert and delete operations
- To sum up
 - Sequential list is the best choice for storing static data
 - Linked list is a good choice for storing dynamic data



Comparison between sequential list and linked list

- Sequential list
 - Time cost of insert and delete operation is $O(n)$, search of i th element can be done in constant time.
 - You must apply for continuous storage space with fixed length previously
 - If the whole array is full there will be no structural storage cost
- Linked list
 - Time cost of insert and delete operation is $O(1)$, but the cost for finding the i th element is $O(n)$
 - Uses pointers for storage, you need to assign storage space dynamically to the new elements per demand
 - Every element has overhead storage cost



Storage density of Sequential list and linked list

n means the current number of elements in linear list

P means the size of the storage space of the pointer (usually 4 bytes)

E means the size of the storage space of the data element

D means the maximum number of linked list elements that can be stored in array

- Space requirement
 - Space requirement of sequential list is DE
 - Space requirement of linked list is $n(P + E)$
- The critical value of n , namely $n > DE / (P+E)$
 - The bigger the n , the higher the space efficiency of sequential list
 - If $P = E$, then the critical value is $n = D / 2$



The choice in different situations

- Situations sequential list not fit
 - Insert or delete operations are frequent
 - The maximum length of the linear list is also a vital consideration
- Situations linked list not fit
 - When read operation is more frequent than insert or delete operations
 - When the storage cost of the pointer is relatively big compared to the occupied space of the attributes of a node , think carefully.



Choice between Sequential list and linked list

- Sequential list
 - The number of nodes can be estimated
 - The nodes are relatively stable
(insert and delete operation are not frequent)
 - $n > DE / (P + E)$
- Linked list
 - The number of nodes cannot be estimated
 - The node are dynamic
(insert and delete operation are frequent)
 - $n < DE / (P + E)$



Thinking

- Choose between sequential list and linked list.
 - Dynamic change of nodes
 - Storage density



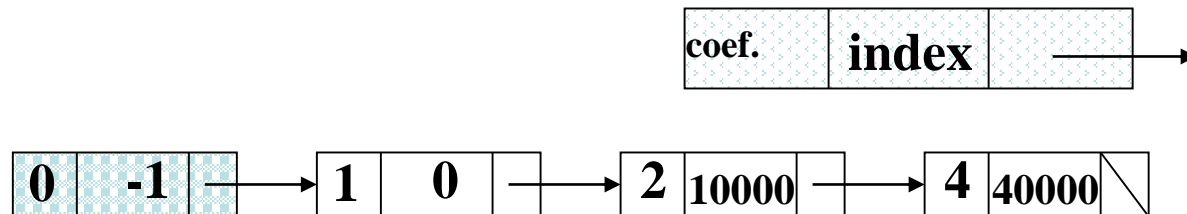
Thinking : expression of polynomial with one variable

- Polynomial with one variable: $P_n(x) = p_0 + p_1x + p_2x^2 + \dots + p_n x^n$
- Linear list expression : $P = (p_0, p_1, p_2, \dots, p_n)$
- Sequential list expression : only save the coefficient (the ith element save X's coefficient)



the situation when the data is sparse: $p(x) = 1 + 2x^{10000} + 4x^{40000}$

- Linked list expression : node structure





Data Structures and Algorithms

Thanks

the National Elaborate Course (Only available for IPs in China)
<http://www.jpk.pku.edu.cn/pkujpk/course/sjjg/>

Ming Zhang, Tengjiao Wang and Haiyan Zhao
Higher Education Press, 2008.6 (awarded as the "Eleventh Five-Year" national planning textbook)